



**Retirement Plan Advisors
Privacy Policy
April 2024
(Last amended June 2019)**

At Retirement Plan Advisors (RPA), protecting your privacy is very important. We value your trust, and we want you to understand what information we collect, how we protect it, and how we use it. We treat personal information – non-public information that identifies you – with respect and in accordance with this Privacy Policy. To protect your personal information, we maintain electronic and physical safeguards.

Information We May Collect

We may obtain information, much of which comes directly from you, to provide you with products and services you have requested and as we deem appropriate, and to determine your eligibility for products or services. We may collect identification and contact information, as well as transaction and investment experience information from applications, transactions with others and with us, and outside companies.

Examples of the Sources and Types of Information We May Collect Include:

- Information about the products and services you purchase through us, including: copies or applications, registration forms, or other forms containing your name, address, telephone number, Social Security number, email address, investment objectives, income, assets, employment information, and accounts with other companies.
- Information regarding your brokerage and/or investment advisory transaction history with us, our service providers, such as clearing firms, or other companies; and information from other companies who assist us in marketing our own products and services or products we offer jointly with other financial institutions may have, such as your name, address, and telephone number.
- Information under the USA PATRIOT Act enacted by the United States Treasury Department and Congress to combat terrorism. (Investor notification is available upon request.)

Information We May Disclose and to Whom We May Disclose Information

RPA does not disclose your personal information to companies or organizations not affiliated with us that would use the information to contact you about their own products and services. We may disclose all the personal information we collect, as described above, to companies, such as insurance companies and brokers, banks, broker/dealers and investment advisers that provide services to you on our behalf, such as:

- Providing administrative, customer assistance, clearing, operational, or other services;
- Preparing, printing, and delivering portfolio management performance reports, confirmation statements, and other documents;
- Executing securities transactions;
- Maintaining or developing software for us; or for financial institutions (such as banks, investment advisers, or securities firms) with which we have joint marketing arrangements to endorse or offer financial products or services. RPA will not sell current or former clients' personal and confidential information to any third parties;
- Third-party asset managers with whom we have relationships for the management of customer accounts; and,
- State, Federal, and other regulatory and self-regulatory authorities as required by law or regulation.

Disclosures Permitted by Law

We may also disclose all the information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or insurance and securities regulatory agencies. If state law requires us to give you the option to opt-out or opt-in to information sharing, our service providers will provide you with this option.

Instructions on How to Notify Us

For any questions regarding this policy or to request additional copies, please contact your Investment Advisor Representative directly or call the RPA Compliance Department at (573) 659-4443, ext.1308.

A copy of the RPA Privacy Policy is also available on our website, www.retirementplanadvisors.com.