

# **Retirement Plan Advisors, LLC**

## Form ADV Part 2B – Brochure Supplement

for

## **Darren Doughty**

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This brochure supplement provides information about Darren Doughty that supplements the Retirement Plan Advisors, LLC, Brochure. You should have received a copy of that brochure. Please contact our Service Center at (877) 284-6837 if you did not receive Retirement Plan Advisors' Brochure or if you have any questions about the contents of this supplement.

Additional information about Darren Doughty is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

29 E. Madison St., Suite 500 Chicago, IL 60602 (312) 701-1100 www.retirementplanadvisors.com

#### Item 2: Educational Background and Business Experience

Darren Doughty is the Director of Group Retirement Plans. Darren services RPA's group retirement plan clients, focusing on plan fiduciary services, plan administration, and participant retirement readiness

Date of Birth:	May 13, 1975	
Education:	Bachelor of Arts in Politics from Fairfield University in Fairfield, Connecticut	
Business Background:	<i>Director-Group Retirement Plans</i> Retirement Plan Advisors, LLC	2021 – present
	Senior Advisor Consultant & VP Franklin Templeton Investments	2004 – 2020

## Item 3: Disciplinary Information

Darren Doughty has not had:

- A) Any civil or criminal actions brought against him;
- B) Any administrative proceedings before the SEC, any other federal regulatory agency, or any foreign financial regulatory authority;
- C) Any proceedings before a self-regulatory organization; and
- D) Proceedings in which a professional attainment, designation, or license was revoked or suspended.

## Item 4: Other Business Activities

Darren Doughty, your Advisor, is also a registered representative with Cambridge Investment Research, Inc., ("Cambridge") a registered securities broker/dealer, member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investors Protection Corporation (SIPC). When acting as a registered representative of Cambridge, your Advisor may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. Clients are not obliged to purchase or sell securities through Cambridge or their Advisor. However, if you choose to establish an account with your Advisor, it is important to understand that due to

regulatory constraints, your Advisor must place all purchases and sales of securities products in commission-based accounts through Cambridge or other institutions approved by Cambridge.

#### Item 5: Additional Compensation

Darren Doughty may receive cash or non-cash compensation, such as merchandise, gifts, technology, travel expenses, and lodging, directly from investment managers or sponsors. These economic benefits could influence your IAR to recommend certain products/programs over others.

However, he does not receive any economic benefit from other persons, companies or organizations in exchange for providing client advisory services through Retirement Plan Advisors, LLC.

RPA is committed to always acting in the best interest of our clients.

## Item 6: Supervision

Accounts are randomly and periodically reviewed for consistency between the investment objectives and risk tolerance as represented on the client's advisory account agreement or other suitability document, and the actual holdings in the client's account. Compliance may either sample investment management accounts on a periodic basis or review exception reports to determine if the client's investment allocations are consistent with the client's stated investment objective.

Matt Frank, Chief Compliance Officer, (573) 659-4443, is responsible for supervising the advisory activities of Darren Doughty on behalf of Retirement Plan Advisors, LLC.