

# Retirement Plan Advisors, LLC

Form ADV Part 2B - Brochure Supplement

for

Nicholas Daugherty
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May 1, 2025

This brochure supplement provides information about Nicholas Daugherty that supplements the Retirement Plan Advisors, LLC, Brochure. You should have received a copy of that brochure. Please contact our Service Center at (877) 284-6837 if you did not receive Retirement Plan Advisors' Brochure or if you have any questions about the contents of this supplement.

Additional information about Nicholas Daugherty is available on the SEC's website at www.adviserinfo.sec.gov.

29 E. Madison St., Suite 500 Chicago, IL 60602 (312) 701-1100 www.retirementplanadvisors.com

## Item 2: Educational Background and Business Experience

Nicholas Daugherty is an Investment Advisor Representative for Retirement Plan Advisors. Nicholas provides retirement counseling and investment advice to, individuals and individual plan participants, predominantly in the public sector.

Date of Birth: March 25, 1979

Education: Bachelor of Applied Science from Texas A&M University in College Station,

ΤX

Associate of Arts, Criminal Justice from Dallas County Community

College District in Dallas, TX

Business Investment Adviser Representative

Background: Retirement Plan Advisors, LLC March 2023 – present

Investment Adviser Representative

Independent Financial Partners June 2018 – June 2023

Wealth Manager

TL Private Wealth August 2016 – June 2018

Police Sergeant

City of Grand Prairie April 2002– August 2016

#### **Item 3: Disciplinary Information**

## Nicholas Daugherty has not had:

- A) Any civil or criminal actions brought against him;
- B) Any administrative proceedings before the SEC, any other federal regulatory agency, or any foreign financial regulatory authority;
- C) Any proceedings before a self-regulatory organization; and
- D) Proceedings in which a professional attainment, designation, or license was revoked or suspended.

#### **Item 4: Other Business Activities**

Nicholas Daugherty conducts investment related activities through RPA, LLC and may also conduct other business or investment related activities under the Doing Business As ("DBA") name of FinancialCop LLC. RPA and FinancialCop LLC are not affiliated.

Nicholas Daugherty is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, your Advisor will receive commissions for selling insurance and annuity products. Clients may choose any independent insurance agent and insurance company to purchase insurance products and are not obligated to purchase insurance products through your Advisor.

# **Item 5: Additional Compensation**

Nicholas Daugherty may receive cash or non-cash compensation, such as merchandise, gifts, technology, travel expenses, and lodging, directly from investment managers or sponsors. These economic benefits could influence your IAR to recommend certain products/programs over others.

However, he does not receive any economic benefit from other persons, companies or organizations in exchange for providing client advisory services through Retirement Plan Advisors, LLC.

RPA is committed to always acting in the best interest of our clients.

#### Item 6: Supervision

Accounts are randomly and periodically reviewed for consistency between the investment objectives and risk tolerance as represented on the client's advisory account agreement or other suitability document, and the actual holdings in the client's account. Compliance may either sample investment management accounts on a periodic basis or review exception reports to determine if the client's investment allocations are consistent with the client's stated investment objective.

Matt Frank, Chief Compliance Officer, (573) 659-4443, is responsible for supervising the advisory activities of Nicholas Daugherty on behalf of Retirement Plan Advisors, LLC.