

# Retirement Plan Advisors, LLC

Form ADV Part 2B - Brochure Supplement

for

Stephen Walters
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This brochure supplement provides information about Stephen Walters that supplements the Retirement Plan Advisors, LLC, Brochure. You should have received a copy of that brochure. Please contact our Service Center at (877) 284-6837 if you did not receive Retirement Plan Advisors' Brochure or if you have any questions about the contents of this supplement.

Additional information about Stephen Walters is available on the SEC's website at www.adviserinfo.sec.gov.

29 E. Madison St., Suite 500 Chicago, IL 60602 (312) 701-1100 www.retirementplanadvisors.com

## Item 2: Educational Background and Business Experience

Stephen Walters is an Investment Advisor Representative for Retirement Plan Advisors. Stephen provides retirement counseling and investment advice to, individuals and individual plan participants, predominantly in the public sector.

Date of Birth: May 29, 1991

Education: Bachelor of Arts, Exercise & Sports Science from Texas Tech University

Lubbock, Texas

Associates in Applied Sciences, Radiologic Sciences from Brookhaven

College, Farmers Branch, Texas

Business Investment Adviser Representative

Background: Retirement Plan Advisors, LLC July 2024 – Present

Financial Planning Specialist

Embark Financial Advisors Oct. 2022 – May 2024

Clinical Specialist

Siemens-Healthineers May 2019 – Oct. 2022

**Technologist** 

Dallas Regional Medical Center Jan. 2016 – May 2019

## **Item 3: Disciplinary Information**

#### Stephen Walters has not had:

- A) Any civil or criminal actions brought against him;
- B) Any administrative proceedings before the SEC, any other federal regulatory agency, or any foreign financial regulatory authority;
- C) Any proceedings before a self-regulatory organization; and
- D) Proceedings in which a professional attainment, designation, or license was revoked or suspended.

#### **Item 4: Other Business Activities**

Stephen Walters conducts investment related activities through RPA, LLC and may also conduct other business or investment related activities under the Doing Business As ("DBA") name of FinancialCop LLC. RPA and FinancialCop LLC are not affiliated.

## **Item 5: Additional Compensation**

Stephen Walters may receive cash or non-cash compensation, such as merchandise, gifts, technology, travel expenses, and lodging, directly from investment managers or sponsors. These economic benefits could influence your IAR to recommend certain products/programs over others.

However, he does not receive any economic benefit from other persons, companies or organizations in exchange for providing client advisory services through Retirement Plan Advisors, LLC.

RPA is committed to always acting in the best interest of our clients.

### Item 6: Supervision

Accounts are randomly and periodically reviewed for consistency between the investment objectives and risk tolerance as represented on the client's advisory account agreement or other suitability document, and the actual holdings in the client's account. Compliance may either sample investment management accounts on a periodic basis or review exception reports to determine if the client's investment allocations are consistent with the client's stated investment objective.

Matt Frank, Chief Compliance Officer, (573) 659-4443, is responsible for supervising the advisory activities of Stephen Walters on behalf of Retirement Plan Advisors, LLC.